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Docket: MA9658DIV2

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Fraser et al.

/ Group Art Unit: 1744

/

Serial No: 10/614,392

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Filed: July 7, 2003

/ Examiner: unknown

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FOR: SYSTEMS AND METHODS FOR  
TREATING PATIENTS WITH  
PROCESSED LIPOASPIRATE CELLS

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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STOUT, UXA, BUYAN & MULLINS, LLP

Kenton R. Mullins, Reg. No. 36,331

**TRANSMITTAL**

Sir:

Submitted herewith are

- ~ Return Receipt postcard;
- ~ Information Disclosure Statement;
- ~ PTO-1449 21 Sheets;
- ~ The Commissioner is hereby authorized to charge any needed fees to deposit account 50-1600.

Respectfully submitted,

Kenton R. Mullins  
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**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R. 1.56 and 1.97, Applicants wish to call the attention of the Examiner to the references that are listed on the attached PTO form 1449. In accordance with 37 .F.R. section 198(d)(1)(2), copies of all 291 references in the enclosed PTO form 1449 are not provided as they have previously been provided in U.S. Serial No. 10/316,127 filed December 9, 2002, for which the subject application claims priority

Applicants respectfully request that the cited references be listed on the face of any patent issuing from this application.

These citations do not constitute an admission that the references are relevant or material to the claims, but rather only constitute the closest art of which Applicants are presently aware.

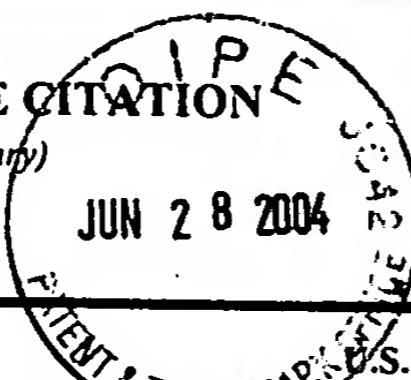
Respectfully Submitted

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June 23, 2004  
4 Venture, Suite 300  
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949-450-1750

## INFORMATION DISCLOSURE CITATION

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## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
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		5,035,708	07/20/1991	Alchas et al.			
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						YES	NO
	EP0570331	11/18/1993	Europe				
	WO8702812	07/11/1987	WIPO				
	WO8601111	02/27/1986	WIPO				

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*EXAMINER INITIAL	OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>
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FORM 1449*		Docket Number MA9658 DIV 2	Application Number 10/614,342
INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION		Applicant Fraser et al.	
(Use several sheets if necessary)		Filing Date 7/7/03	Group Art Unit 1744

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,486,359	January 23, 1996  (EXHIBIT 1)	Caplan, et al.			
	5,728,739	March 17, 1998  (EXHIBIT 2)	Ailhaud et al.			
	5,827,740	October 27, 1998  (EXHIBIT 3)	Pittenger			
	5,827,897	October 27, 1998  (EXHIBIT 4)	Ailhaud, et al.			

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	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
						YES NO
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*Substitute Disclosure Statement Form (PTO-1449) Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE	

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	5,591,625 <b>(Exhibit 19)</b>	January 7, 1997	Gerson, et al.			
	5,786,207 <b>(Exhibit 20)</b>	July 28, 1998	Katz, et al.			
	5,827,735 <b>(Exhibit 21)</b>	October 27, 1998	Young, et al.			
	5,827,740 <b>(Exhibit 22)</b>	October 27, 1998	Pittenger			
	5,906,934 <b>(Exhibit 23)</b>	May 25, 1999	Grande, et al.			
	5,908,784 <b>(Exhibit 24)</b>	June 1, 1999	Johnstone et al.			
	6,200,606 B1 <b>(Exhibit 25)</b>	March 13, 2001	Peterson, et al.			

**FOREIGN PATENT DOCUMENTS**

DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
					YES      NO

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	Kosher, RA, et al., 1986 <i>J. Cell Biol.</i> "Collagen Gene Expression During Limb Cartilage Differentiation," 102:1151-1156(Exhibit 49)
	Kuri-Harcuch, W. et al., 1984, <i>Differentiation</i> "Extracellular matrix production by mouse 3T3-F442A cells during adipose differentiation in culture," 28(Exhibit 50)
	Lanier, L.L. et al, 1991 <i>J. Immunol.</i> "Molecular and Functional Analysis of Human Natural Killer Cell-Associated Neural Cells Adhesion Molecule (N-Cam/CD56)," 146:4421-4426(Exhibit 51)
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<b>INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION</b>	<b>Applicant</b> <b>Fraser et al.</b>	
<b>(Use several sheets if necessary)</b>	<b>Filing Date</b> <b>7/7/03</b>	<b>Group Art Unit</b> <b>1744</b>

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						YES	NO
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	WO97/41208 (Exhibit 113)	11/06/97	PCT				X
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FORM 1449*		Docket Number <b>MA9658D1U2</b>	Application Number <b>10/614,392</b>
INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION		Applicant <b>Fraser et al.</b>	
(Use several sheets if necessary)		Filing Date <b>7/7/03</b>	Group Art Unit <b>1744</b>

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<b>FORM 1449*</b>	Docket Number <b>MA9658D1W2</b>	Application Number <b>10/614,892</b>
<b>INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION</b>  <b>(Use several sheets if necessary)</b>	Applicant <b>Fraser et al.</b>	Filing Date <b>7/7/03</b>
		Group Art Unit <b>1744</b>

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

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\*Substitute Disclosure Statement Form (PTO-1449)

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

<b>FORM 1449*</b>	<b>Docket Number</b> <b>MA9658D1U2</b>	<b>Application Number</b> <b>10/614,392</b>
<b>INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION</b>  <b>(Use several sheets if necessary)</b>	<b>Applicant</b> <b>Fraser et al.</b>	
	<b>Filing Date</b> <b>7/7/03</b>	<b>Group Art Unit</b> <b>1744</b>

## **U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

## **FOREIGN PATENT DOCUMENTS**

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
						YES
						NO

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

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<b>FORM 1449*</b>  <b>INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION</b>  (Use several sheets if necessary)		Docket Number MA9658DIV2	Application Number 10/614, 392
		Applicant Fraser et al.	
		Filing Date 7/7/03	Group Art Unit 1744

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,854,292	December 29, 1998 (Exhibit 235)	Ailhaud et al.			

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	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	WO 99/28444 (Exhibit 223)	June 10, 1999	PCT				
	WO 99/02654 (Exhibit 224)	January 21, 1999	PCT				
	WO 00/53795 (Exhibit 231)	September 14, 2000	PCT				
	WO 01/62901 A2 (Exhibit 232)	August 30, 2001	PCT				-
	WO 01/21767 (Exhibit 233)	March 29, 2001	PCT				
	WO 97/26326 (Exhibit 236)	July 24, 1997	PCT				

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